PTO 03 APR 2006

## TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) **CONCERNING A SUBMISSION UNDER 35 U.S.C. 371**

58777.000019

INTERNATIONAL APPLICATION NO. PCT/JP2004/014598

INTERNATIONAL FILING DATE October 4, 2004

PRIORITY DATE CLAIMED

October 3, 2003

|       |                        | NVENTION  D OF INDUCING THE DIFFERENTIATION OF STEM CELLS INTO MYOCARDIAL CELLS  |  |  |  |  |
|-------|------------------------|--|--|--|--|--|
| APPL  | ICAN                   | T(S) FOR DO/EO/US  |  |  |  |  |
| Keiid | chi FU                 | KUDA; Shinsuke YUASA; Hideyuki OKANO; Takuya SHIMAZAKI; Uichi KOSHIMIZU; Tomofumi TANAKA and GIMURA  |  |  |  |  |
|       |                        | nerewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:  |  |  |  |  |
| 1. *  | $\boxtimes$            | This is a <b>FIRST</b> submission of items concerning a filing under 35 U.S.C. 371.  |  |  |  |  |
| 2.    |                        | This is a SECOND or SUBSEQUENT submission of items concerning a filing under 35 U.S.C. 371.  |  |  |  |  |
| 3.    |                        | This express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below.  |  |  |  |  |
| 4.    | $\boxtimes$            | The US has been elected (Article 31).  |  |  |  |  |
| 5     | $\boxtimes$            | A copy of the International Application as filed (35 U.S.C. 371(c)(2))   |  |  |  |  |
| -     | a.                     | is attached hereto (required only if not communicated by the International Bureau).  |  |  |  |  |
| •     | b.                     | has been communicated by the International Bureau.   |  |  |  |  |
|       | c.                     | is not required, as the application was filed in the United States Receiving Office (RO/US).   |  |  |  |  |
| 6.    | $\boxtimes$            | An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)).   |  |  |  |  |
|       | a.                     | is attached hereto.  |  |  |  |  |
|       | b.                     | has been previously submitted under 35 U.S.C. 154(d)(4).   |  |  |  |  |
| 7.    | ⊠<br>a.                | Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))  are attached hereto (required only if not communicated by the International Bureau).   |  |  |  |  |
|       | b.                     | have been communicated by the International Bureau.  |  |  |  |  |
|       | c.                     | have not been made; however, the time limit for making such amendments has NOT expired.  |  |  |  |  |
|       | d.                     | have not been made and will not be made.   |  |  |  |  |
| 8.    |                        | An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).  |  |  |  |  |
| 9.    |                        | An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).   |  |  |  |  |
| 10.   |                        | An English language translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).   |  |  |  |  |
| Item  | s 11 to                | 20 below concern document(s) or information included:  |  |  |  |  |
| 11.   | $\boxtimes$            | An Information Disclosure Statement under 37 CFR 1.97 and 1.98.  |  |  |  |  |
| 12.   |                        | An Assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.  |  |  |  |  |
| 13.   | $\boxtimes$            | A Preliminary Amendment.   |  |  |  |  |
| 14.   | $\boxtimes$            | An Application Data Sheet under 37 CFR 1.76.   |  |  |  |  |
| 15.   |                        | A power of attorney and/or change of address letter.   |  |  |  |  |
| 16.   | $\boxtimes$            | A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821-1.825.   |  |  |  |  |
| 17.   |                        | A second copy of the published International Application under 35 U.S.C. 154(d)(4).  |  |  |  |  |
| 18.   | $\boxtimes$            | Other items or information:  |  |  |  |  |
|       | 55); <i>i</i><br>or Tr | Tied English Language Translation of International Application PCT/JP2004/014598 (including Specification (pages 1-53); 14 Claims (pages 54-Abstract (page 56); Figures 1-10 (10 pages); Sequence Listing (4 pages)); Submission of Sequence Listing; Notification Concerning Submission ansmittal of Priority Document (PCT/IB/304); International Search Report of PCT/JP2004/014598 (PCT/ISA/210); PTO/SB/08B with 2 ences; Unexecuted Declaration and Power of Attorney. |  |  |  |  |

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| U.S. APPLICATION N   | O. (IF KNOWN, SEE 37 CF | R 1.7)  | INTERNATIONAL APPLIC                                     |                  | PIS REC Q P       |     | NEY'S DOCKET N |          |
|--|-------------------------|---|--|------------------|-------------------|-----|----------------|----------|
|  | 10/                     | <u> 574530</u>  | PCT/JP   | 2004/01          | 4598              |     | 58777.000      | 019      |
| The follo  | wing fees have bee      | n submitted:  |  |                  |                   | CAL | CULATIONS      | PTO USE  |
| 19. 🛭 B  | asic National Fee (     | (37 CFR 1.492(a))                                     | \$   | 300.00           |                   | \$  | 300.00         |          |
| 20. 🛭 E  | xamination Fee (3       | 7 CFR 1.492(c))                                       | ·  |                  |                   |     |                |          |
| If the written op<br>by IPEA/US inc<br>All other situati   | \$                      | 200.00  |  |                  |                   |     |                |          |
| 21. 🛭 Se   | earch Fee (37 CFR       | (1.492(b))  |  | -                |                   |     |                |          |
| If the written opinion of the ISA/US or the International Preliminary Examination Report prepared by IPEA/US indicates all claims satisfy provisions of PCT Article 33(1)-(4) \$ 0.00  Search Fee (37 CFR 1.445(a)(2)) has been paid on the international application to the USPTO as an International Searching Authority \$ 100.00  International Search Report prepared by an ISA other than the US and provided to the Office or |                         |   |  |                  |                   |     | 400.00         |          |
| previously con<br>All other situat   | nmunicated to the U     | JS by the IB  | •  | 400.00<br>500.00 |                   |     |                |          |
| -  | OF ABOVE CAI            | CULATIONS -   |  | 900.00           |                   | \$  | 900.00         |          |
| Additional fee for specification and drawings filed in paper over 100 sheets (excluding sequence listing in compliance with 37 CFR 1.821(c) or (e) or computer program listing filed in an electronic medium) (37 CFR 1.492(j)).  The fee is \$250.00 for each additional 50 sheets of paper or fraction   |                         |   |  |                  |                   |     |                |          |
| Total Sheets   Extra Sheets  |                         |   | dditional 50 or fraction thereof d up to a whole number) |                  |                   |     |                |          |
| - 100  | /50                     |   |  |                  | x <b>\$250.00</b> | \$  |                |          |
|  |                         | g any of the search fee, exactional stage (37 CFR 1.4 |  | oath or          | declaration after | \$  |                |          |
| CLAIMS   | NUMB                    | ER FILED NU   | JMBER EXTRA  |                  | RATE              | \$  |                |          |
| Total claims   |                         | 18 - 20 =   | 0  | х                | \$ 50.00          | \$  |                |          |
| Independent cla  | ims                     | 1 - 3 =   | 0  | х                | \$200.00          | \$  |                |          |
| MULTIPLE DE  | EPENDENT CLAIR          | M(S) (if applicable)                                  |  | +                | \$360.00          | \$  | 000.00         | <u> </u> |
| <del></del>  |                         | T   | OTAL OF ABOVE  | CALCU            | JLATIONS =        | \$  | 900.00         |          |
| Applicant claims small entity status. See 37 CFR 1.27. The fees above are reduced by 1/2.  |                         |   |  |                  |                   |     |                |          |
|  |                         |   |  | S                | UBTOTAL =         | \$  | 900.00         |          |
| Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492(f)).  |                         |   |  |                  |                   | \$  |                |          |
|  |                         |   | TOTAL  | NATIO            | ONAL FEE =        | \$  |                |          |
| Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property +   |                         |   |  |                  |                   | \$  |                |          |
| appropriate cov  | er sheet (37 CFR 3      | .28, 3.31). <b>\$40.00</b> per pro                    | perty  |                  | +                 |     |                |          |

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| U.S.   | APPLICA     | ATION NO. (IF KNOWN, SEE 37 CFR 1.7)   | INTERNATIONAL APPLICATION NO.             | ATTORNEY'S DOCI | ATTORNEY'S DOCKET NUMBER |  |  |  |  |  |  |
|--|-------------|--|---|-----------------|--------------------------|--|--|--|--|--|--|
|  |             | <b>10</b> /574530  | PCT/JP2004/014598                         | 58777           | 7.000019                 |  |  |  |  |  |  |
| a.   | $\boxtimes$ | A check in the amount of \$900.00  | to cover the above fees i                 | enclosed.       |                          |  |  |  |  |  |  |
| b.   |             | Please charge Deposit Account No.  | <u>50-0206</u> in the amount of           | to cove         | r the above fees.        |  |  |  |  |  |  |
|  |             | A duplicate copy of this sheet is enclosed.  |   |                 |                          |  |  |  |  |  |  |
| c.   | $\boxtimes$ | The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment   |   |                 |                          |  |  |  |  |  |  |
|  |             | to Deposit Account No. 50-0206   | A duplicate copy of this sheet            | enclosed.       |                          |  |  |  |  |  |  |
| d.   |             | Fees are to be charged to a credit card. <b>WARNING</b> : Information on this form may become public. <b>Credit card information</b> should not be included on this form. Provide credit card information and authorization on PTO-2038. |   |                 |                          |  |  |  |  |  |  |
| NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status. |             |  |   |                 |                          |  |  |  |  |  |  |
| SEND ALL CORRESPONDENCE TO:  |             |  |   |                 |                          |  |  |  |  |  |  |
|  |             | FOMER NO. 21967 ectual Property Department   | alisah H. h                               |                 |                          |  |  |  |  |  |  |
|  | Hunto       | on & Williams LLP  | SIGNATURE                                 |                 |                          |  |  |  |  |  |  |
|  |             | K Street, N.W.; Suite 1200 ington, DC 20006-1109   | Robert M. Schulman; Alexander H. Spiegler |                 |                          |  |  |  |  |  |  |
|  | (202)       | 955-1500 (telephone)   | NAME                                      |                 |                          |  |  |  |  |  |  |
| (202) 778-2201 (facsimile)   |             |  | 31,196; 56,625                            | April 3, 2006   |                          |  |  |  |  |  |  |
|  |             |  | REGISTRATION NUMBER                       | DATE            |                          |  |  |  |  |  |  |